

\$1624

Attorney Docket No.: 5700.250-US

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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NOV 22 2002

TECH CENTER 1600/2900

In re Application of: Jeppesen et al.

Serial No.: 09/995,137

Group Art Unit: 1624

Filed: November 27, 2001

Examiner: Tamthom Ngo Truong

Confirmation No.: 1962

For: New Compounds, Their Preparation and Use

CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

Commissioner for Patents  
Washington, DC 20231

Sir:

I hereby certify that the attached correspondence comprising:

1. Amendment Fee Transmittal (in duplicate)
2. Amendment

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Commissioner for Patents  
Washington, DC 20231

on November 14, 2002.

Dolly Kapadia  
(name of person mailing paper)

Dolly Kapadia  
(signature of person mailing paper)



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#5/B  
11/22/02  
C. Style

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Examiner: Truong, Tamthom N.

For: New Compounds, their Preparation and Use

**AMENDMENT/ RESPONSE**

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

This amendment is submitted in response to the first Office Action on the merits mailed May 16, 2002, having a shortened statutory period for reply set to expire August 16, 2002, subject to extensions of time under the provisions of 37 CFR §1.136(a). A petition for a three (3) month extension of time to increase the period for reply to November 16, 2002, is enclosed herewith to permit timely filing of this response.

Claims numbered 1, 2, 7, 16, 18, 23, 24, 26-33, 36, 43, 44, and 50-54 are pending in the application. Claims numbered 1, 2, 7, 16, 18, 23, 24, 26-28, 30-33, 36, 43, 44, and 50-54 have been rejected by the Examiner. Claim numbered 29 has been objected to by the Examiner.

**AMENDMENT**

In the Specification:

Please replace the phrase "New Compounds, their Preparation and Use" found on page 1, line 1 with the following replacement phrase:

--Tricyclic Compounds as Nuclear Receptor Modulators--.